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# PREPARATIONS FOR THE PANEL DISCUSSION ON THE PAN AMERICAN ALLIANCE FOR NUTRITION AND DEVELOPMENT TO ACHIEVE THE MILLENNIUM DEVELOPMENT GOALS

#### Background

- 1. Malnutrition and overall health result from the interaction of multiple factors, some of which are related to characteristics of the individual, while many others are directly related to the socioeconomic conditions in which we live. Consequently, it is necessary to develop and implement multisectoral and interprogrammatic activities that not only concern themselves with individual factors, but also deal with contextual ones. To this end, the Regional Directors of the United Nations created the Pan American Alliance for Nutrition and Development for the Achievement of the Millennium Development Goals. The initiative seeks to pool and coordinate international cooperation efforts and resources to propose, implement, monitor, and evaluate integrated, coordinated, and sustainable programs and interventions that address the many different causes of malnutrition, within a human rights framework and a gender approach. On the basis of scientific evidence, lessons learned, and the countries' experiences, this Alliance will help to accelerate the achievement of the Millennium Development Goals and will bolster the work of other Regional partnerships and initiatives.
- 2. The Alliance will approach nutrition from a multisectoral standpoint, integrating activities to address the conditions of the physical and social environments, food security, education, access to information, maternal and child health, access to health services and family planning, working conditions, household income, and the exercise of human rights and fundamental freedoms.
- 3. This health determinants-based approach requires that all United Nations agencies pursue a coordinated and complementary technical cooperation, all State sectors participate and commit themselves to the effort, and all actors among all United Nations

agencies, the participation and the commitment of all State sectors, and all actors with a stake in the development and the well-being of the population become involved.

- 4. The current international situation is marked by an unprecedented financial and economic crisis that spreads from the global economic power centers to the impoverished developing countries on the periphery. In this situation, health and nutrition can be viewed as a first warning sign, signaling the need to engage in joint activities on the part of the community of United Nations agencies, in coordination with countries and governments, to serve as a powerful dam to hold back these threats and, insofar as possible, preserve the foundations that will revive development and guarantee its continuity. In this regard, the Alliance, with its specific focus on contextual and structural problems, represents an invaluable resource that merits institutional strengthening. Its measures should be urgently implemented with a preventive and proactive vision that extends beyond a traditional approach that only considers the proximal determinants of the nutrition and health issue.
- 5. This panel discussion aims to outline the conceptual and operational foundations of the Alliance to the Region's ministers of health, the academic community, political leaders, and donors. The panel also will discuss the strengths of the Alliance in the context of the current situation and in terms of its ability to minimize or mitigate health impacts in general, as well as the nutritional impact in the most vulnerable groups.

#### **Objectives**

- To promote a multisectoral, interprogrammatic approach and integrated interventions based on the conceptual model of health determinants;
- To reaffirm the commitment of the agencies of the United Nations system to work together to maximize their impact and to make interventions designed to address the malnutrition problem in the countries more sustainable;
- To urge the Member States of the Pan American Health Organization to adopt this approach as a political and strategic line of action to accelerate achievement of the Millennium Development Goals;
- To identify opportunities, strategic partners, and funding sources to implement the strategies and programs conceived and designed from the Alliance's perspective.

#### **Expected Results**

• Give greater priority to nutrition and development issues in the political agenda of the Member States of the Organization;

- Share and promote the concept of integrated interventions based on a healthdeterminants approach as a strategic element for improving the nutritional status of the peoples of the Region;
- Persuade the Member States of the need to forge partnerships, such as the Alliance, to help countries more effectively deal with the consequences of the financial crisis and current economic situation, which imminently threaten their development aspirations;
- Promote and encourage a culture that fosters the evaluation and monitoring of interventions.

#### **Panel Discussion Methodology**

- Panel moderator to introduce the panel (5 minutes);
- Video/messages from Dr. Mirta Roses Periago regarding the situation in the Region of the Americas (5 minutes);
- Special guest speaker to give a 20-minute presentation entitled, "Nutrition and Development: Integrated Social Policies to Overcome the Crisis," an experience of an invited country;
- Special guest speaker to give a 15-minute presentation on the issue, "The Pan American Alliance for Nutrition and Development: A Response in Times of Crisis";
- Member of the academic community to give a 15-minute presentation entitled "Contextual Factors and Socioeconomic Determinants of Malnutrition," to complement the two presentations above;
- Fifteen minutes for comments by the panel of experts seated in "Row Zero".
- Fifteen minutes for plenary discussion.

#### **Panel Structure**

	Topics and Speakers	
Topic	Presentation Content	Suggested Speakers
Introduction of the	Introduction of the Alliance	Minister of Health
panel (5 minutes)		
Video/message	Health situation in the Region of the	Dr. Mirta Roses Periago
(5 minutes)	Americas	
Nutrition and	• The nutritional situation	Special guest speaker
Development:	<ul> <li>The experience of Healthy and</li> </ul>	
Integrated Social	Productive Municipalities and integrated	
Policies to	social policy	
Overcome the	<ul> <li>National experiences for preserving</li> </ul>	
Crisis: and	health and development in situations of	
Experience of a	crisis	
country		
(20 minutes)		
Pan American	• Conceptual underpinnings of the Alliance	Guest speaker from the
Alliance for	• Malnutrition in children under 5: Zero	World Food Program
Nutrition and	tolerance initiatives	
Development	<ul> <li>The approach based on health</li> </ul>	
(8 minutes)	determinants and integrated interventions	
	• The value of the Alliance for dealing	
	with the current crisis	
Contextual and	<ul> <li>Nutrition, health, and development</li> </ul>	Special guest speaker
socioeconomic	The life-cycle approach	
determinants of	<ul> <li>Social determinants of health and</li> </ul>	
malnutrition	nutrition	
(7 minutes)		

#### Expert commentators (Row Zero) (3 to 5 minutes each)

- Representative of a financial agency
- Representative of a donor country
  - Political leader
  - International NGO
- Representative of the United States Congress
- A prominent member of the world of arts, media, or communication
- Representative of the National Institutes of Health (NIH) or the Centers for Disease Control and Prevention (CDC)
  - Plenary (15 minutes)

#### **Participants and Guests**

- Ministers of health and other representatives of the Member States
- United States federal government officials (representatives of the State Department and the U.S. Congress) and District of Columbia government officials
- Directors of technical cooperation agencies
- Directors of banks and donor agencies
- Accredited Diplomatic Corps in Washington, D.C.
- Ambassadors to the OAS
- Members of the Washington, D.C. academic community
- Food for the Poor
- March of Dimes
- Charitable organizations
- Religious groups

Annex

#### **EXECUTIVE SUMMARY**

## PAN AMERICAN ALLIANCE FOR NUTRITION AND DEVELOPMENT FOR THE ACHIEVEMENT OF THE MILLENNIUM DEVELOPMENT GOALS

#### Introduction

- 1. Malnutrition, especially growth retardation and anemia, is a serious public health problem in Latin America and the Caribbean, reflecting the poverty and lack of equity in income and access to basic services that millions endure in our Region.
- 2. Nearly 9 million children under 5 suffer from chronic malnutrition (1); in addition, 22.3 million preschool-age children, 33 million women of reproductive age, and some 3.6 million pregnant women suffer from anemia (2). These disorders are not distributed evenly among or within countries, although rural populations and indigenous peoples are especially affected due to the inequities that characterize our Region.
- 3. The prevalence of growth retardation in Latin America ranges from 3% (Chile) to 54% (Guatemala) (3). The prevalence rates for this same indicator are 20%, 22%, and 20% among non-indigenous children under 5 in Bolivia, Ecuador, and Peru, respectively; and 40%, 50%, and 45% among indigenous children in those same countries (4). In Peru, the Demographic and Health Surveys (DHS) from 1992 to 2006 show that the prevalence rates of growth retardation in rural areas double those in urban areas (5).
- 4. The prevalence of anemia in children under 5 ranges from 14% to 64%, with a Regional average of 39.5%. Among women of reproductive age and pregnant women, the prevalence of anemia ranges from 20% to 64%, with a Regional average of 23.5% and 31.1%, respectively (6).
- 5. Malnutrition is closely linked with poverty (7). Data from nine countries in the Region show that 33% of children under 5 in households in the lowest income quintile suffer from chronic malnutrition, compared to only 4.6% in the highest income quintile (8). The educational level of mothers "explains" some 40% of child malnutrition, inasmuch as only 32.5% of women aged 15 to 49 in the lowest income quintile complete the fifth grade (8-9).
- 6. In addition to poverty, limited access to a healthy environment, adequate housing, safe water, basic sanitation, and timely, good-quality health services are also observed. Studies based on Demographic and Health Survey (DHS) data show that combined

interventions in the areas of nutrition, safe drinking water supply, basic sanitation, and the use of clean fuels can reduce mortality in children under 5 by 14% (10).

#### **Background**

- 7. At the United Nations Regional Directors Meeting, held at PAHO Headquarters on 24–25 July 2008, the creation of the "Pan American Alliance for Nutrition and Development" was approved. The purpose of the Alliance is to propose and implement comprehensive, intersectoral, and coordinated programs that are sustainable over time, and that are carried out within the framework of human rights and through gendersensitive and intercultural approaches, to accelerate progress in the achievement the Millennium Development Goals.
- 8. The initiative for the creation of the Alliance acknowledges that malnutrition and overall health result from the interaction of many factors, some of them associated with individual issues, but many others directly linked to the socioeconomic conditions in which we live (11-12). The latter category is generically termed as "social determinants (13)." Traditional approaches to malnutrition have targeted individual factors through food and vertical health programs, while downplaying or ignoring the importance of social determinants, which include food security, the conditions of the physical and social environments, education, access to information, maternal and child health, family planning, access to health services, the exercise of human rights and fundamental freedoms, household income, and working conditions. Efforts to correct these reductionist approaches will require simultaneous, coordinated, complementary technical cooperation from all United Nations agencies and other stakeholders committed to the development and well-being of the population.
- 9. The Alliance is both an institutional and an interinstitutional initiative that will facilitate the pooling and coordination of international cooperation efforts and resources to promote, agree on, carry out, monitor, and effectively evaluate evidence-based interprogrammatic and multisectoral interventions designed to tackle the multicausal problem of malnutrition. The Alliance will offer opportunities for planning that draws on lessons learned and on the countries' experiences. The Alliance does not pretend to compete with—much less eliminate, replace, or ignore—other initiatives. Rather, it seeks to build on and strengthen such initiatives by creating an enabling framework to integrate and consolidate efforts and complementary conceptual and strategic values.
- 10. Given the current international situation, characterized by an unprecedented financial and economic crisis that extends from the centers of global economic power to the impoverished and developing periphery, health and nutritional status can be considered the first warning sign. In response to this situation, the United Nations community has an opportunity to join forces to support countries and governments by

serving as a powerful dam that can hold these threats in check and, insofar as is possible, preserve the foundations that will ensure that development can continue. Accordingly, the creation of the Alliance, with its specific focus on addressing contextual and structural problems, will prove an invaluable resource that merits institutional strengthening. The Alliance's activities should be implemented posthaste, relying on a preventive and proactive vision that goes beyond the traditional approach that addresses only the proximate determinants of the nutrition and health problem.

#### **Conceptual Underpinnings**

- 11. Low height-for-age, or "chronic malnutrition," is the result of many factors (e.g., inadequate health care and bouts of repeated infections throughout the life course, lack of access to basic sanitation, low levels of education, etc.), which occur simultaneously over prolonged periods (14). It also is a reliable, easy-to-measure indicator, whose monitoring is standard practice in the health services and the education sector. For these reasons, it is considered a proxy indicator for a population's living conditions and useful for evaluating poverty-reduction policies and programs over the long term.
- 12. Poverty and vulnerability maps overlap malnutrition's map, especially the growth retardation map. In its targeting of scenarios—which is the approach of the Alliance, as opposed to the targeting of individuals—growth retardation is an optimal tracer of the history of vulnerabilities in a retrospective horizon of several years. The reduction of growth retardation is a sensitive, though nonspecific, indicator of changes in the configuration of its determinants.
- 13. Interventions aimed at preventing nutritional problems, especially growth retardation, are effective for a wide range of events and conditions that occur throughout the life course, given that they affect health and human development (due to the well-known nutrition-health-development link) and have transgenerational effects (15-16). Although this quality is not exclusive to nutrition, growth retardation has the broadest and most visible horizontal intersection with health and development and their determinants than any other condition or indicator.
- 14. Moreover, no other condition is as attractive a political symbol for advocacy and for enlisting the involvement of those responsible for designing and implementing public policy.
- 15. Finally, successfully tackling malnutrition will require the support of all the agencies. In this regard, the Alliance is especially well positioned to crystallize into specific action the spirit of reform in the United Nations organizations.

- 16. A constellation of known factors operate as social determinants of health, including socioeconomic context, poverty and inequality, social exclusion, socioeconomic status, income, public policies, education, housing quality, transportation, the physical and social environments, and social and community support networks. Clearly, these factors are found at different hierarchical levels (17). Their influence is not cumulative: some behave as underlying causes while others intermediate, and some modify the effects of others in a causal network whose mechanisms are not well known and are still being debated.
- 17. If a child receives stimulation, an adequate diet, and does not get sick, she or he will likely attain his biological and genetic growth and development potential. Whether or not these three conditions are satisfied will, in turn, depend on a dense complex of other conditions that together shape the social determinants of nutrition and health. While providing a child with adequate food and health care are critical, these actions alone are not the most efficient, since they do not address the mechanisms that generate food insecurity and greater vulnerability to disease. This fact, which is true for the individual, is even more so at the population level.
- 18. Consequently, if it is recognized that health and nutrition are determined by social factors, the object of the interventions must be not only at the individual level, but also at the physical and social environment that produces and reproduces the individual's health. It is essential to reach beyond interventions that merely target the individual, regardless of the evidence of their success, and consider programs that include these interventions but also incorporate their mutual synergies.
- 19. In view of the foregoing arguments, the conceptual underpinnings of the Alliance are:
- (a) Formulating approaches that give special priority to modifying the determinants beyond simply mitigating their effects, and concentrating activities not only on individuals, but on highly vulnerable geodemographic areas;
- (b) Replacing the unisectoral approach with a multisectoral one that addresses social determinants and inequalities;
- (c) Setting up an appropriate institutional framework to coordinate joint activities at the local, national, transnational, and Regional levels;
- (d) Identifying evidence-based, integrated, and sustainable interventions from the various spheres of activity, with a view to formulating, monitoring, and evaluating them in a uniform and unfragmented manner; and
- (e) Identifying situations and geodemographic opportunities for implementing such interventions.

#### **Policy and strategy elements**

- 20. Structural interventions are public health interventions that affect the population's health by modifying the structural context in which health is produced and reproduced (18). The physical and social environments are hierarchical in structure: the home, family, and work group or collective represent an individual's immediate environment, or "microsystem;" the intermediate physical and social environments, or "ecosystem," include the school, community, and health services; and an individual's most distant environment, or "macrosystem," includes the political and economic system, culture, and society. Structural interventions that target beyond the individual should aim at one or more levels of the physical and social environments (ecosystem) and should be based on a type of theoretical model and practical circumstances that apply to each context or scenario.
- 21. In public health, structural interventions differ from programming interventions in that they attribute the cause of health problems to contextual factors that influence vulnerability, individual risks, and other determinants of disease and risk profiles, not simply to the characteristics of individuals. People-based approaches assume that the relationship between the person and society includes a wide margin of individual autonomy that allows every person to freely choose among his or her options, while the structural approach assumes that individual actions are limited by external constraints (18).
- 22. It is almost impossible to conceive of pure or radical structural interventions. In practice, structural interventions entail incorporating specific environmental conditions (e.g., political, cultural, geographical, economic, social) into the creation of integrated modular interventions (IMI), derived from the synergies of classical, evidence-based interventions that include those specific structural or contextual conditions.
- 23. The Pan American Alliance for Nutrition and Development aspires to become a useful interagency framework for providing technical cooperation to identify, implement, and evaluate integrated interventions that can be adapted to the specific conditions of preselected situations, based on vulnerability criteria or some of its proxies. The nutritional situation will be one of the key variables of the response—but not the only one—to identify and evaluate interventions. In this regard, the purpose of the Alliance is to promote a culture of evaluation, with a view to identifying the most effective and efficient strategies.
- 24. The Alliance is an interagency framework of joint integrated action, designed to advance in the achievement of the Millennium Development Goals, especially those closely linked to nutrition (Goals 1, 4, 5, and 7). Moreover, the Alliance is characterized

by its innovative approach (based on social determinants), strategy (intersectoral), and targeting criteria (vulnerable geodemographic areas).

- 25. In accordance with its essence and the purposes outlined in the preceding paragraph, the Alliance will substantially contribute to:
- Better, more effective interinstitutional coordination;
- Greater integration of mandates and work plans;
- Identification of effective, integrated, multisectoral interventions that address the social determinants and multiple causes of malnutrition and health and, thus, promote development;
- Strengthen and invigorate the strategic frameworks, programs, and initiatives under way;
- Mobilize resources and optimize their efficient use;
- Promote a culture of evaluating interventions;
- Generate evidence-based information and contribute to greater visibility and expanded use of existing platforms in the areas of nutrition, health, and development, as well as their determinants;
- Establish a common language, strategy, and voice to expand interventions within the public policy-making sphere through, on the one hand, the exercise of a defense of the active causes, and on the other, helping to steer country programs toward a multisectoral approach based on social determinants with broad community participation ("scaling-up/scaling down");
- Establish mechanisms to minimize and mitigate, insofar as is possible, the effects of the global financial and economic crisis on an area as sensitive as nutrition, that will have a short-, medium-, and long-term impact on health and are crucial for ensuring the continuity of development.

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